



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/717,428	11/19/2003	Tom Wells	5384/55446



Patula & Associates, P.C.  
 14th Floor  
 116 South Michigan Avenue  
 Chicago, IL 60603

CONFIRMATION NO. 7011

## FORMALITIES LETTER



\*OC000000012433678\*

Date Mailed: 04/23/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/27/2004 BABRAHA1 00000078 10717428

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1202	270.00 OP
04 FC:1201	344.00 OP

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figure(s) 5.
  - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1); See Figure(s) 5.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$614** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1514** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$614**
  - **\$344** for **4** independent claims over **3**.
  - **\$270** for **15** total claims over **20**.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

*0165*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
5384/55446  
MWE No. 632880386

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wells et al. )  
Serial No.: 10/717,428 ) Art Group Unit: 3624  
Filed: November 19, 2003 )  
For: **METHOD AND SYSTEM FOR** )  
**PROCESSING CHECKS** )

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 7-23, 2004.

*Charles T. Riggs Jr.* 7-23-04  
Charles T. Riggs, Jr., Reg. No. 37,430 Date

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed April 23, 2004, please find enclosed the following:

1. An executed Declaration;
2. An executed Power of Attorney by Assignee;
3. An executed Assignment and Recordation Cover Sheet;
4. Replacement drawings (6 sheets);
5. A Petition for an Extension of Time;

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6. A Form PTO-2038 authorizing the Commissioner to charge the amount of \$1,664.00 (in payment of the \$130.00 surcharge fee for a large entity; the \$40.00 fee to record the assignment; the \$110.00 extension fee and the \$1,384.00 filing fee); and
7. A copy of the Notice to File Missing Parts of Nonprovisional Application.

The Commissioner is hereby authorized to charge any deficient or additional fees which may be required, including if necessary the above fees if there is any problem with the credit card charge, or credit any overpayment to Deposit Account No. 16-0657.

A postcard is enclosed evidencing receipt of the same.

Respectfully submitted,

**PATULA & ASSOCIATES, P.C.**



Charles T. Riggs Jr.  
Reg. No. 37,430  
One of the Attorneys for Applicant

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